

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

④ 日本国特許庁(JP)

⑤ 特許出願公開

⑥ 公開特許公報(A)

昭60-238479

⑦ Int. Cl.

特許庁

特許庁

⑧ 公開 昭和60年(1985)11月27日

C 23 C 14/56

7537-4K

審査請求 未請求 発明の数 1 (全5頁)

⑨ 発明の名称 真空薄膜処理装置

⑩ 特 願 昭59-93610

⑪ 出 願 昭59(1984)5月10日

⑫ 発 明 者 高 橋 信 行 東京都府中市四谷5-8-1 日電アネルバ株式会社内  
⑬ 出 願 人 日電アネルバ株式会社 東京都府中市四谷5-8-1

# 明 細 書

## 1. 発明の名称

真空薄膜処理装置

## 2. 特許請求の範囲

(1) 基体を収容し真空中に排気することのできるロードロック室と、該基体に真空中で薄膜処理を施す処理室と、前記ロードロック室と該処理室の間において該基体を自動的に移送する機構を有せしめかつ前記薄膜処理の前処理を行なうことのできる前処理室との三者からなる真空薄膜処理装置において、該処理室を複数個備え、かつ該基体は該複数個の処理室の中から選ばれた少くとも一つの処理室で薄膜処理を行ない得るように、該ロードロック室と該複数個の処理室の間の該基体の移送経路を選択できるよう構成したことを特徴とする真空薄膜処理装置。

## 3. 発明の詳細な説明

本発明はスパッタリングにより、同一形状の複数の板状基体に次々と自動的に薄膜を形成するスパッタ装置の構造に関するものである。更に基体

的には、本発明はスパッタ装置の保守に起因する装置のダウンタイムを低くし、装置運転の全時間に占める正味の生産時間の比率を大きくすることのできるスパッタ装置の構造に関するものである。

本発明の具体的な応用分野の一例は、無機回路製造工程における薄膜作製過程である。ここでは、例えば直径約125mm、厚み約0.5mm程度の円形板状シリコンウェーハの上に、厚み約1ミクロン程度の金属薄膜や絶縁薄膜を形成することが必要とされる。作製すべき薄膜に必要とされる電気的、機械的、物理的諸特性は、一般に真空容器内の不純物ガス分圧が低いほどすぐれたものが得られるので、スパッタリングにより薄膜作製を行う処理室は、可能な限り大気に晒す時間を短かくすることが望ましい。また同じ目的から、処理室には不純物ガス発生の原因となる物体をできるだけ持込まないようにすることが必要であり、持込みはウェーハの移送に最低限必要な物体に限定することが望まれ、理想的には薄膜を作製すべき



処理 量が終り、第1の処理室の処理を停止してその真空を再び前述の保守作業を開始段階になると、再度処理すべき蓋は搬送経路を変更して第2の処理室に送り込まれ、そこで処理が開始される。そして、第2の処理室で処理が行なわれるのに並行して、第1の処理室内では定期的保守作業が行われ、それに続いて処理を開始するための予備操作が行われる。この定期的保守作業と予備操作に費やされる時間は、一般に第1、第2の各処理室が連続作業に耐える時間より短いので、第2の処理室がその処理を停止して保守すべき時期に達したときには既に第1の処理室では処理を再開できる状態になっている。かく、同じ装置をもつ第1の処理室と第2の処理室を交互に使用することにより、切れ目なく連続の処理を行うことができる。また、この方式によれば予定していない事故が発生して処理室を修理せねばならぬ場合が生じた時にも、それを使用していなかった処理室の方へ処理すべき蓋を送り込み生産を継続しながら事故を修理することができる。

ンブによりそれぞれ独立に排気され真空に維持される。新しい蓋体はカセット12に収納されてロードロッタ室の入口11からロードロッタ室10に移入され、また、スパッタリングにより膜付処理が済んだ後に11から取出される。中間収納室20には二軸のカセット22, 23が設けられている。中間収納室20は、ロードロッタ室10の周周による前処理室30及びスパッタ室50の真空の質の劣化を防止すると共に、未処理蓋体と処理済み蓋体の搬送が装置全体の時間当り処理能力を犠牲にせず行なわれるよう役目を果しており、その制動と役割に関する詳細な説明は、特願昭55-169057及び特願昭55-137802の中に与えられている。前処理室30はスパッタ膜作製の前段階で蓋体加熱あるいはスパッタエッチング等の予備的処理を行う役割を果たす。蓋体は、4個のステージ26, 27, 28, 29のいずれかの上に配設せられる。このうちステージ27は加熱あるいはスパッタエッチングに使用され、ステージ29は冷却等に使用できる。ロードロッタ室10、中

上述の説明では、第1、第2の2個の処理室を交互に使用する場合について述べた。一般には同じ機能の処理室が2個あれば連続して生産を行うのに実用上支障はない。しかし、もし同じ機能の処理室を3個以上設ければ、定期的保守操作及び予備操作のために費やす時間が比較的長い場合とか、不測の事故の発生頻度が高い場合には、生産を中断する危険性を極めて低くすることができるとは、装置全体としては占有空間の容積が大きくなり、かつ高価になる。それらの点を兼ね合わせ考慮すると実用的には、2個の同じ機能をもつ処理室を設けて連続生産が可能となるような装置が好ましい。しかし本発明は、同じ処理室の数について特に制約を加えるものではない。

次に図面により、更に具体的に説明をする。

第1図は、従来方式のスパッタ装置の一列を示す。図において装置は、ロードロッタ室、蓋体の中間収納室20、前処理室30、及びスパッタ室50で構成され、各室の間に仕切弁21, 31, 41が設けられている。各部屋は図示されていないが

中間収納室20、及び前処理室30における蓋体の搬送はベルトを使用した直線運動と通室の軸を中心とする回転運動によって行われるが、それらについては特願昭55-151815、特願昭56-35743に詳細に説明されている。

スパッタ室50内では、水平状態の蓋体42(一点鎖線)が、90°回転して43に示す如くほぼ鉛直状態に保持され、次いで、そのまゝスパッタ室30のほぼ中心に有る鉛直軸301の周囲を約90°ステップで回転する。このスパッタ室30の第2のステージで蓋体44は加熱ランプ51, 52により加熱され、第3のステージで蓋体45の上に膜付処理がなされる。同様に第4のステージでも蓋体46の上に膜付処理がなされる。第3及び第4のステージでは蓋体に対向する位置にスパッタ電極60, 60'が設けられている。スパッタ電極はターゲット61、カソードボディ62から成り、絶縁体63を介して真空容器壁面に取付けられている。カソードボディ62にはスパッタ電極70より給電線71, 72を經由して(アース電

位に対して)負の高電圧が印加される。ただし金属製真空容器は、アース部81でアースされアース電位にある。図示されていないガス導入系を經由して、スペッチ室50にアルゴン等のガスを供給すると、陰極近傍で低圧ガス放電が生じ陽イオンがターゲット61等を叩く結果、スペッチリングによる表面作成が行われる。装置全体の中でカセット12に収容された基体13は矢印aを経て、中間収納室第1カセット23に一度おさまり次いで矢印b, c, d, e, f, g, h, i, k, m, n, pに順次沿って進み、真付処理後中間収納室20の第2カセット22に戻る。そして再び矢印qに沿ってロードロッタ室10内の最初のカセット位置に戻る。以上が従来の装置の動きである。

第2図は本発明によるスペッチ装置の実施例を示す。本実施例においてもロードロッタ室10、中間収納室20の構造とそれらの内部における基体の搬送は前述の従来の場合と全く同じである。前処理室30をばさんで対称に2個のスペッチ室

50, 51が、それぞれ仕切弁41, 41'を介して設けられている。そしていずれか一方のスペッチ室を使用することにより前述と同様の真付処理ができる。即ち、矢印c, d, e, f, g, h, i, k, mに順次沿って基体を搬送することによりスペッチ室50を用いた処理が行うことができ、他方c', d', f', g', h', i', k', mに順次沿って基体を搬送することにより、スペッチ室50'を用いた処理を行うことができる。なお前処理室30のステージ26, 27, 28は基体の搬送との間の搬送に用い、ステージ28が加えられるいはエッチング等の前処理に用いられる。先に述べた如く、本装置を用いて真付処理を行っている間に、仕切弁41'を閉じたままスペッチ室50'を大気開放して内部の洗浄化、油及びターゲット等の交換などに似する定期保守作業を行い、その後再び真空中に排気して、スペッチ室50の稼働計画時間が終了しスペッチ室50'に切替える時期が来るのを待つ。また予期せぬ事故でスペッチ室50を大気開放せざるを得ぬような事態にな

る場合には、直ちにスペッチ室50に切替えて生産を長時間中断することなく装置の修理ができる。

以上は本発明の具体的実施例をスペッチ装置によって説明したものであるが、本発明はスペッチ装置に限らず真空中を用いる多くの真付処理装置に適用できる。特にドライエッチング装置、プラズマCVD装置、真空蒸着装置等はスペッチ装置と同様に真付処理中の真空中の気が処理の性能に大きな影響を与える。そのため処理室の定期的保守点検の必要を内び運転するまでには極めて長時間を要しているが、本発明はこの空費時間をゼロにするものである。本発明の生産性向上への貢献は非常に大きく、工業上有益な発明といえることができる。

#### 4. 図面の簡単な説明

第1図は、従来のスペッチ装置の構成を示す図。  
第2図は、本発明のスペッチ装置における実施例の構成を示す。

10…ロードロッタ室      20…中間収納室  
30…前処理室              50…スペッチ室

60…スペッチ室      70…スペッチ室  
13, 24, 25, 26, 27, 28, 29, 42, 43,  
44, 45, 46は基体を示す。

特許出願人      日電アネルバ株式会社

FIG. 1

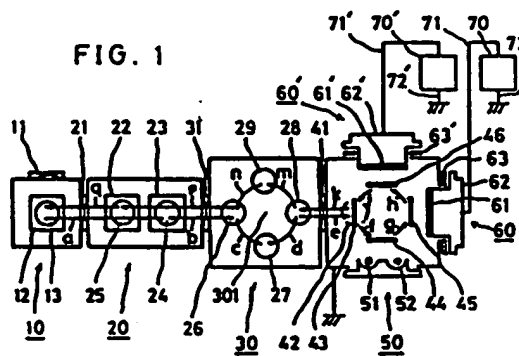
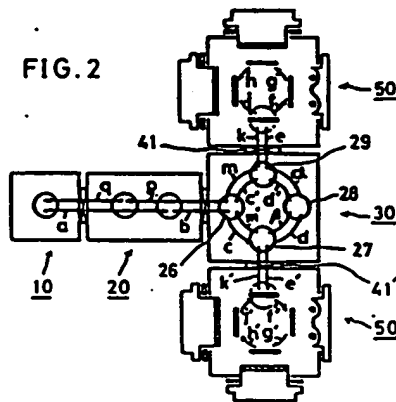


FIG. 2



Laid-open Number: 60-238479

Laid-open Date: November 27, 1985

Application Number: 59-83610

Application Date: may 10, 1984

Int. Class Number: C 23 C 14/56

Name of Applicant: ANELVA CORPORATION

#### SPECIFICATION

##### 1. Title of the Invention:

Vacuum Thin Film Processing Apparatus

##### 2. Claim:

A vacuum thin film processing apparatus, comprising:  
a load and lock chamber for storing substrates which can  
be vacuumed;

processing chambers for implementing a filming process  
on said substrates in a vacuum; and

a pre-processing chamber disposed between said load and  
lock chamber and said processing chamber, having a mechanism  
for automatically transporting said substrates and capable of  
implementing a pre-processing of said filming process: and

characterized in that said processing apparatus has a  
plurality of said processing chambers and is constructed so  
that a transportation path of said substrates between said

load and lock chamber and said plurality of processing chambers can be selected so that the filming process may be implemented on said substrate in at least one processing chamber selected from said plurality of processing chambers.

### 3. Detailed Description of the Invention:

The present invention relates to a structure of a sputtering apparatus for automatically forming thin films sequentially on a large number of plate substrates having the same shape by sputtering, and more particularly to a structure of a sputtering apparatus which allows to shorten a downtime of the apparatus caused by the maintenance of the apparatus and to increase a rate of net production time in the whole apparatus operating time.

One exemplary field in which the present invention may be applied is a thin film fabricating process in a process for manufacturing integrated circuits. In that process, it is required, for example, to form a metallic thin film and an insulating thin film having a thickness of about  $1\ \mu$  on a disc-shaped thin silicon wafer having a diameter of about 125 mm and a thickness of about 0.5 mm. Because the lower the partial pressure of impurity gas within a vacuum container, the better the electrical, mechanical and physical characteristics necessary for the thin films to be fabricated may be obtained in general, it is desirable to shorten a time



exposed to the air as much as possible in the processing chamber for fabricating thin films by sputtering. Also for the same purpose, it is necessary not to bring a material body which may cause impurity gas into the processing chamber. Therefore, it is desired to limit a material body which is brought into the processing chamber to what is just necessary for transporting wafers and ideally, an apparatus having a structure by which only wafers on which thin films are fabricated are brought into the processing chamber is desirable. Further, it is desirable to automatically transport wafers without being directly touched by operators as much as possible when they are handled in order to fabricate uniform thin films efficiently on a large volume of wafers. Further, it is necessary to coat the surface of the wafer only by the thin film having a predetermined thickness and it is not desirable to have fine dust mixed therein or to create pinholes or the like where no film is coated. Due to that, it is preferable to hold wafers vertically within the processing chamber so that no dust deposit on the surface of the wafers, even if dust is produced, during the fabrication of the film.

A vacuum system of the sputtering apparatus used for the purpose described above comprises, basically, a processing chamber for fabricating thin films on substrates and a load and lock chamber for inserting substrates before processing

from the air and for conveying the processed substrates to the air. Normally, the processing chamber is kept in a vacuum state in order to keep a partial pressure of impurity gas as low as possible and only the load and lock chamber is exposed to the air and is vacuumed every time when the substrates are brought in and out. A gate valve between the load and lock chamber and the processing chamber is opened only when the load and lock chamber is vacuumed to transport the substrates between each of the containers.

By the way, in considering a production process for processing an extremely large volume of wafers for a long period of time, it is impossible, from the common sense, to operate the sputtering apparatus for the filming process continuously for a long period of time. That is, the apparatus is always stopped by some reasons, causing a need to destroy the vacuum of the processing chamber. Though it is undesirable for the producer, a case when the function of the apparatus cannot be performed by some failure is one reason of the unavoidable stoppage of the apparatus. Although the probability of causing a failure could have been reduced to the degree which causes practically no problem by making various efforts to improve the reliability of the apparatus, it cannot be completely eliminated from the aspects of economy and others.

Rather, an apparatus having an adequate price is

operated with an adequate cost in general and the vacuum of the processing chamber is destroyed periodically to positively perform maintenance works. The maintenance works include a replacement of a wear old target material with new one, recovery of evacuation ability by refreshing a cryopump, removal of sputtered film adhered within the container, readjustment of a wafer transport mechanism, and the like. While the vacuum processing chamber is closed and is vacuumed again after the maintenance works, the partial pressure of the impurity gas in the processing chamber has to be lowered in order to obtain thin films having a certain quality as described before, so that preliminary operations such as full vacuuming, baking, pre-sputtering and the like have to be carried out taking a long time before entering the production. The rate of the net production time for fabricating thin films on the wafers and the operating time of the apparatus other than that, i.e. the total time of time during which the apparatus is stopped by the failure, time for restoring the apparatus, time of scheduled maintenance works planned in advance and time thereafter necessary for the preliminary operation before starting the production is influenced by various factors such as the structure of the apparatus and reliability of the parts used, propriety of operations and works and skill of the operators operating and maintaining the apparatus, degree of difficulty

of obtaining characteristics required for films to be fabricated and the like. However, the rate of the time for the maintenance and for the ensuing preliminary operations for re-starting the production in the whole time is considerably large in any sputtering apparatuses. For example, in the typical sputtering apparatus presently used, while the vacuum of the processing chamber is destroyed and the maintenance including the replacement of the target is carried out every time when 2,000 wafers are processed taking about 33 hours, it takes more than four hours, including the maintenance, before starting the next production. Another sputtering apparatus requires about 10 hours of maintenance and preliminary operations before the next production every time when 5,600 wafers are processed taking about 100 hours.

Accordingly, it is an object of the present invention to provide a sputtering apparatus which solves the aforementioned problems, i.e. to provide a novel apparatus which can increase the rate of the net time for fabricating thin films in the whole operation time of the sputtering apparatus.

The summary of the apparatus will be described. According to the present invention, a plurality of thin film processing chambers having the same function is provided within one vacuum thin film processing apparatus. During when the apparatus is normally operated, thin films are

processed in a first processing chamber among them and other processing chambers are not used for the processing. Then, in a stage when thin film processing works of predetermined planned time is finished and the processing in the first processing chamber is stopped to break the vacuum thereof to perform the maintenance work described above, the conveying path for sending substrates to be thin film processed is changed to a second processing chamber and processing is carried out in the second processing chamber. In parallel with the processing in the second processing chamber, the periodic maintenance work is done in the first processing chamber and following that, the preliminary operation for starting another processing is carried out. Because the time consumed for the periodic maintenance work and preliminary operation is generally shorter than the time during which the first and second processing chambers can bear the continuous work, the first processing chamber is ready to start processing again at the point when the time has come to stop processing in the second processing chamber to maintain the chamber. Accordingly, the processing of thin films may be carried out continuously by alternately using the first and second processing chambers having the same function. Further, even when an unexpected failure is caused and the processing chamber has to be repaired, this method allows to repair the failure while continuing the production by sending

substrates to be processed to another processing chamber not used till then.

While the case when two processing chambers of the first and second chambers are alternately used has been described in the above explanation, there is practically no trouble in the continuous production by providing two processing chambers having the same function in general. However, the risk of interruption of the production may be lowered to the minimum in cases when the time consumed for the periodic maintenance and preliminary operation is relatively long or when a frequency of causing unexpected failures is high, by providing more than three processing chambers having the same function. However, it increases a volume of the occupied space as a whole apparatus and its price. In considering those points together, an apparatus provided with two processing chambers having the same function and which allows the continuous production is practically preferable. However, the present invention will not particularly limit the number of processing chambers having the same function.

The present invention will be concretely explained hereinbelow with reference to the drawings.

Fig. 1 is a diagram illustrating one example of a prior art sputtering apparatus. In the figure, the apparatus comprises a load and lock chamber 10, an intermediate storage chamber 20, a pre-processing chamber 30 and a sputtering

chamber 50, and gage valves 21, 31 and 41 are provided between each chamber. Each chamber is vacuumed independently by a pump not shown and is kept in the vacuum state. A new substrate is stored in a cassette 12 and is inserted to the load and lock chamber 10 from an inlet 11 of the load and lock chamber 10 and is taken out from there after finishing the filming process by sputtering. Provided within the intermediate storage chamber 20 are two cassettes 22 and 23. The intermediate storage chamber 20 performs roles of preventing the quality of the vacuum in the pre-processing chamber 30 and the sputtering chamber 50 from dropping due to the opening/closing of the load and lock chamber 10 and of conveying non-processed substrates and processed substrates without sacrificing the capacity of the whole apparatus per unit time, and the detailed explanation concerning to the structure and role thereof are given in Japanese Patent Application Nos. 55-169057 and 55-137802. The pre-processing chamber 30 plays a role of implementing preliminary processes such as heating of the substrates and sputter-etching on the pre-stage of the fabrication of the films by sputtering. The substrate is placed on either of four stages 26, 27, 28 and 29. Among them, the stage 27 is used for heating or sputter-etching and the stage 29 is used for cooling, or the like. While the substrates are conveyed through and in the load and lock chamber 10, the intermediate storage chamber 20

and the pre-processing chamber 30 by a linear movement using a belt and a rotary movement centering on an adequate axis, the explanation thereof is given in detail in Japanese Patent Application Nos. 55-151815 and 56-35743.

Within the sputtering chamber 50, a substrate 42 (shown by dashed line) in a horizontal state is rotated by  $90^\circ$  to be held in an almost vertical state as shown by the reference numeral 43 and then is rotated as it is by step of about  $90^\circ$  around a vertical axis 301 which is located almost at the center of the pre-processing chamber 30. A substrate 44 is heated by heating lumps 51 and 52 in a second state in the pre-processing chamber 30 and a filming process is implemented on a substrate 45 in a third stage. Similarly, another filming process is implemented on a substrate 46 in a fourth stage. Sputtering electrodes 60 and 60' are provided at the positions facing to the substrates in the third and fourth stage. The sputtering electrode comprises a target 61 and a cathode body 62 and is mounted on the wall of a vacuum container through an intermediary of an insulator 63. A minus high voltage is applied to the cathode body 62 by a sputtering power supply 70 via feed lines 71 and 72 (to earth potential). However, the wall of the metallic vacuum container is grounded by an earth source 81 and is kept in the earth potential. When a gas such as argon is supplied to the sputtering chamber 50 via a gas introducing system not



shown, a low voltage gas discharge is caused near the cathode and positive ions hit the target 61 and others, forming thin films by sputtering. In the whole apparatus, the substrate 13 stored in the cassette 12 is stored once in the first cassette in the intermediate storage chamber through a path shown by an arrow a and then is advanced sequentially along arrows b, c, d, e, f, g, h, j, k, m, n and p and is returned to the second cassette 22 in the intermediate storage chamber 20 after the filming process. Then, it is returned again to the original cassette position within the load and lock chamber 10 along an arrow q. This is how the prior art apparatus is operated.

Fig. 2 is a diagram illustrating a preferred embodiment of a sputtering apparatus of the present invention. In the present embodiment, the structure and the conveyance of substrates within the load and lock chamber 10 and the intermediate storage chamber 20 are the totally same with the prior art example described above. However, two sputtering chambers 50 and 51' are provided symmetrically interposing the pre-processing chamber 30 therebetween through the intermediary of gate valves 41 and 41', respectively. Then, the same filming process with that described above may be performed by employing either one sputtering chamber. That is, a process employing the sputtering chamber 50 may be performed by conveying substrates sequentially along arrows

c, d,  $\alpha$ , e, f, g, h, j, k and m and another process employing the sputtering chamber 50' may be performed by conveying substrates sequentially along arrows c', d',  $\beta$ , e', f' g', h', j', k' and m'. It should be noted that the stages 26, 27 and 29 in the pre-processing chamber 30 are used to convey the substrates between the neighboring chambers and the stage 28 is used for pre-processing such as heating and etching. As described before, while the filming process is performed using this apparatus, periodic maintenance works such as cleaning of the inside and replacement of jigs and targets is carried out by opening the sputtering chamber 50' to the air while closing the gate valve 41' and after that, the chamber is vacuumed again to be ready for the time when the planned operation time of the sputtering chamber 50 ends and the chamber is switched to the sputtering chamber 50'. Further, even when a situation occurs which compels to open the sputtering chamber 50 to the air due to an unexpected failure, the apparatus may be repaired without interrupting the production for a long time by switching to the sputtering chamber 50'.

While the concrete embodiment of the present invention has been explained above, the present embodiment may be applied not only to the sputtering apparatus but also to many thin film processing apparatuses using vacuum. In particular, a dry etching apparatus, plasma CVD apparatus,

vacuum deposition apparatus and the like are similar to the sputtering apparatus and the quality of vacuum during filming process influences significantly to the performance of the processing. Due to that, although it is taking a quite long time before operating the apparatus after the periodic maintenance and inspection of the processing chamber, the present invention eliminate this idle time to zero. The contribution of the present invention to the improvement of the productivity is very large and it can be said that the present invention is an useful invention industrially.

#### 4. Brief Description of the Drawings:

Fig. 1 is a diagram illustrating a structure of a prior art sputtering apparatus; and

Fig. 2 is a diagram illustrating a structure of a preferred embodiment of a sputtering apparatus of the present invention.

In the drawings, the reference numeral (10) denotes a load and lock chamber, (20) an intermediate storage chamber, (30) a pre-processing chamber, (50) a sputtering chamber, (60) a sputtering electrode, (70) a sputtering power supply, (13, 24, 25, 26, 27, 28, 29, 42, 43, 44, 45 and 46) substrates.

Patent Applicant: ANELVA CORPORATION